

# Guideline - Integrity Next Corporate Responsibility Self-Assessment

## Registration

ams OSRAM invites you, as a valued supplier, to conduct a Corporate Responsibility Self-Assessment via E-Mail. You can forward the mail request internally to your responsible colleague.

Please consider that the registration is for free and you don't have to pay any fees. Before you register you can choose your language.

Please note: You don't have to answer the self-assessment in English, you can also choose your local language.

**INTEGRITY NEXT** English - English

OSRAM GmbH invites you to a compliance assessment.

We at OSRAM GmbH kindly ask you to participate in a sustainability and compliance assessment. This assessment is free of charge and is conducted by IntegrityNext.

US, EU and other national laws require us and you as our business partner to monitor and document on sustainability and compliance. This assessment is therefore essential for your business relationship with OSRAM GmbH and must be completed until 2019-03-01.

Please register and start the assessment. We will ask you to upload your existing certificates and answer a few questions.

**Register now and start your assessment.**

AWS security standards keep your data safe and protected. Certified DSGVO / GDPR compliance protects all personal data.

**Register for free:**

Preferred language  
English - English

Your business email  
s.rowba@osram.com

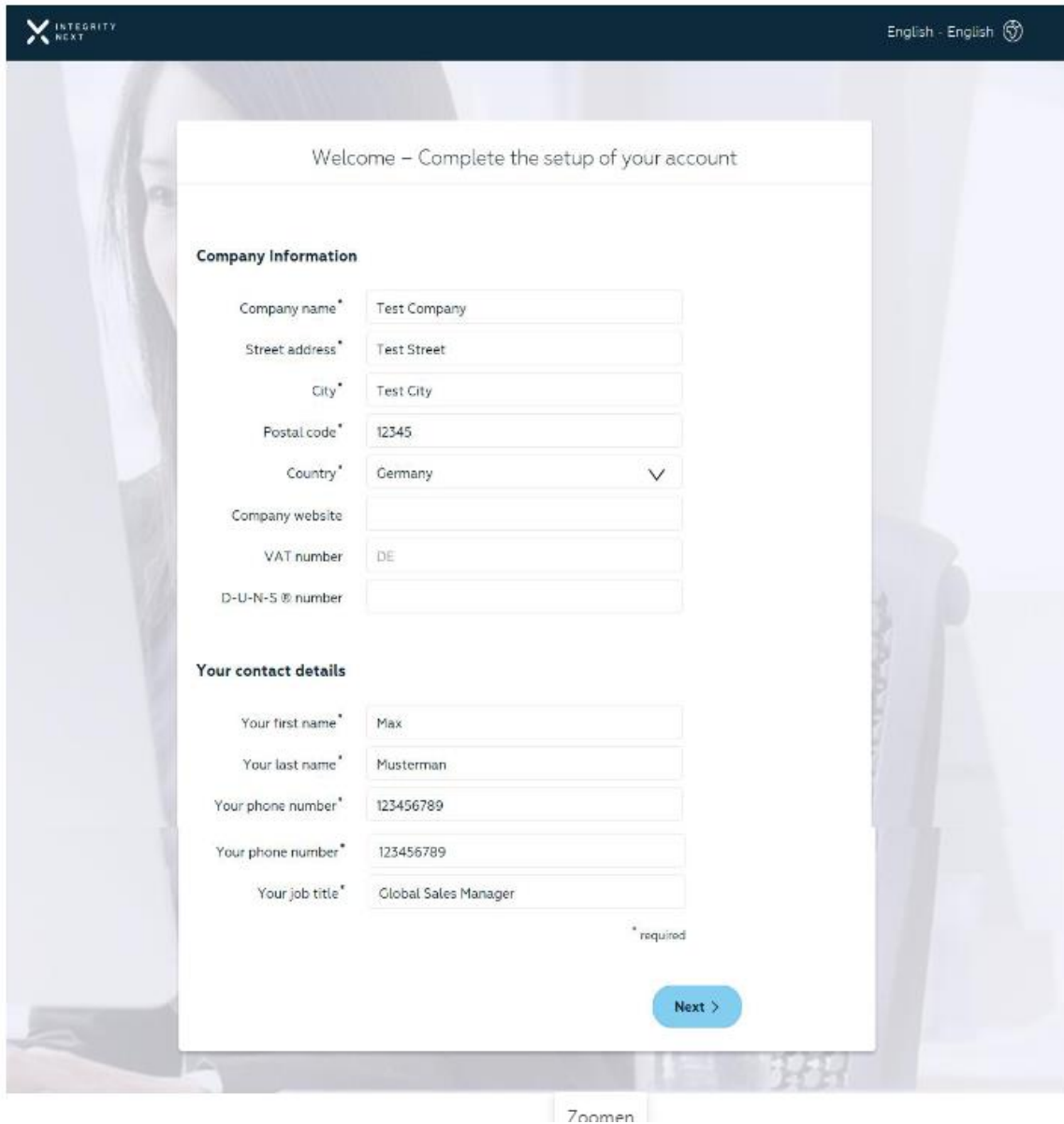
Create password  
.....

Confirm password  
.....

I agree with the [Terms & Conditions](#) and the [Data Privacy Policy](#)

**Register** or log in with your account

During the registration you will be asked to fill in your company information.



The screenshot shows a registration form titled "Welcome – Complete the setup of your account". The form is divided into two main sections: "Company Information" and "Your contact details".

**Company Information**

Company name*	Test Company
Street address*	Test Street
City*	Test City
Postal code*	12345
Country*	Germany ▼
Company website	
VAT number	DE
D-U-N-S ® number	

**Your contact details**

Your first name*	Max
Your last name*	Musterman
Your phone number*	123456789
Your phone number*	123456789
Your job title*	Global Sales Manager

\* required

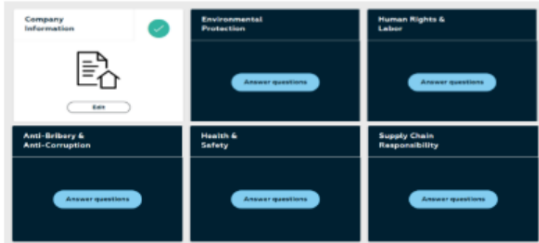
Next >

Zoomen

After providing all your company information you can start the self-assessment.

## Self-Assessment

The Self-Assessment is divided into different sections. These sections include:



1. Environmental Protection
2. Human Rights & Labor
3. Anti-Bribery & Anti-Corruption
4. Health & Safety
5. Supply Chain Responsibility

Please have your certificates at hand, so that you can upload them into your profile on Integrity Next.

Concrete the following certificates can be uploaded in the different sections:

- Environmental Protection: ISO 14001, EMAS
- Human Rights & Labor: SA8000 (SAI)
- Anti-Bribery & Anti-Corruption: ISO 37001
- Health & Safety: OHSAS 18001, ISO 45001

**Environmental Protection**

Policies and procedures by your company to conserve natural resources and preserve natural environment

How can I get better?

Does your company have a certified environmental management system?

Yes, ISO 14001 | Yes, EMAS | Yes, other | No

Name of certificate: ISO 14001

Expiry date: (Select date, expired certificates impact your rating)

Upload certificate: Drop file here or select file (PDF, JPEG or PNG, max. 10MB)

1 Does your company have a certified energy management system? (e.g. ISO 50001) Yes No No Answer

2 Can you confirm that your company has not been involved in environmental lawsuits for the last 5 years? Yes No No Answer

Save Cancel

Please keep in mind: only upload the relevant certificate to the specific topic, e.g. for environmental ISO14001 or EMAS and NOT ISO50001.

If you don't have any certificate you will be asked dedicate questions per category.

Please see the exemplary view for environmental protection:

If you don't have any certificates, please answer no. You will be asked for answer a set of questions.

### Environmental Protection

Policies and procedures by your company to conserve natural resources and preserve natural environment

✕  
  
How can I get better?

Does your company have a certified environmental management system?

Yes, ISO 14001
Yes, EMAS
Yes, other
No

- 1 Is a member of your company's executive management nominated with responsibility for implementing local environmental legislation?

Yes
No
No Answer
- 2 Does your company have policies in place to protect the environment?

Yes
No
No Answer
- 3 Has your company established processes to address environmental requirements?

Yes
No
No Answer
- 4 Has your company defined targets, programs and trainings for employees to improve environmental protection?

Yes
No
No Answer
- 5 Has your company established key performance indicators to monitor the relevant environmental impact? (e.g. annual water consumption, generation of hazardous waste, renewable energy sources produced by the organization, etc.)

Yes
No
No Answer
- 6 Has your company established internal control mechanisms for monitoring the management system?

Yes
No
No Answer
- 7 Does your company have a certified energy management system? (e.g. ISO 50001)

Yes
No
No Answer
- 8 Can you confirm that your company has not been involved in environmental lawsuits for the last 5 years?

Yes
No
No Answer

Save

Cancel

## Status



After finishing a category, you can see your result immediately in the upper right corner. This status represents if further improvement is necessary.

- ✔ Everything is fine
- ! Improvement is necessary
- ⚠ Status is unacceptable

You can always change your answer and improve your status once you have implemented improvements.

## How can I get better?



- ✓ Complete all topics which are not answered yet.
- ✓ Review all topics that are marked with  or  and recheck if all questions have been answered.
- ✓ Answering "yes" is moving you towards green status answering "no" or "no answer" is moving you towards red status.
- ✓ Check your company's supply chain sustainability and upload your suppliers to start the assessment now.
- ✓ Keep your information on each topic up to date and upload new certificates.

Okay

### Your Compliance Profile

After you have finished the self-assessment a compliance profile for your company will be generated. This profile is your overall compliance status and represents the overall result of your self-assessment. Your status is represented through the three different colors, as explained in the section [status](#). We connect your Integrity Next result ams OSRAM internally with your supplier master data.

Please note that ams OSRAM supports suppliers with a clear commitment towards Corporate Responsibility and the result of your Self-Assessment is one important aspect when it comes to sourcing decisions too.

In case you have further questions, feel free to contact us:

[supplier-management@ams-osram.com](mailto:supplier-management@ams-osram.com)

**COMPLIANCE PROFILE** < Back Edit profile

**Test Company**  
Test Street  
12345 Test Town  
Germany

**Overall Compliance Status**   
represents the overall result of the supplier's self-assessment

**Result per topic**


 Anti-Bribery & Anti-Corruption	 Environmental Protection	 Human Rights & Labor	 Health & Safety
 Supply Chain Responsibility	 Company Background Information	 Quality Management	 Management & Owners
 Trading Partner Security	 Information Security	 Conflict Minerals	 Financial Information

**Your URL:**

To promote your good corporate practice share this Compliance Profile with your customers. You can email this link or put it on your website:  
<https://app.integritynext.com/profiles/8153f7f9-562c-4c30-97a4-28003e8ecfad>

**Copy link**

**Share profile:**



You can also share this compliance profile with other customers as proof point for your Corporate Responsibility activities. At the end of the page you can see the link and just copy and share it.

Thanks for your support and commitment towards a sustainable and transparent supply chain.

ams OSRAM Procurement